



Legal framework and practical implementation of SFM in Austria

Dr. Georg Frank

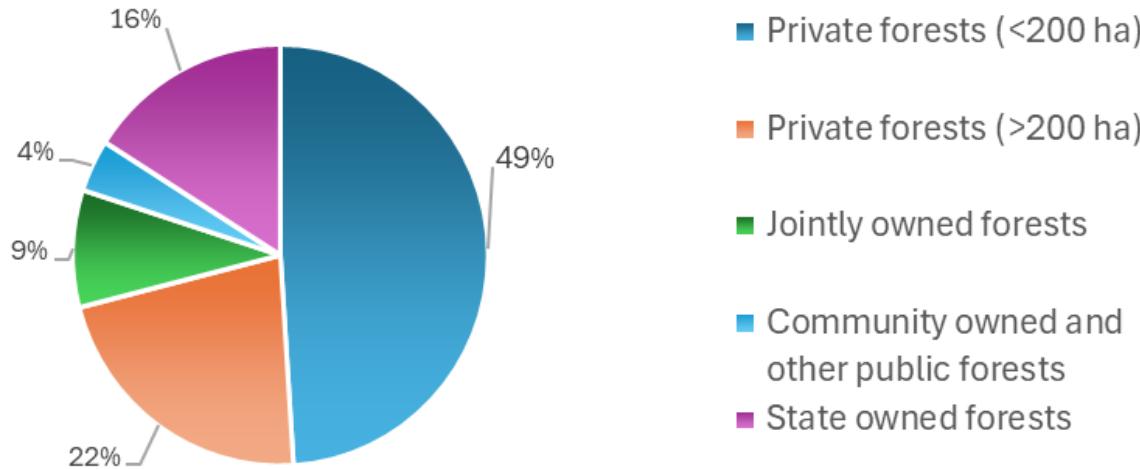
Austrian Forest Research Institute
Unit of Natural Forest Reserves

Characteristics of Austrian forests

Total Area: 8.38 Mio ha

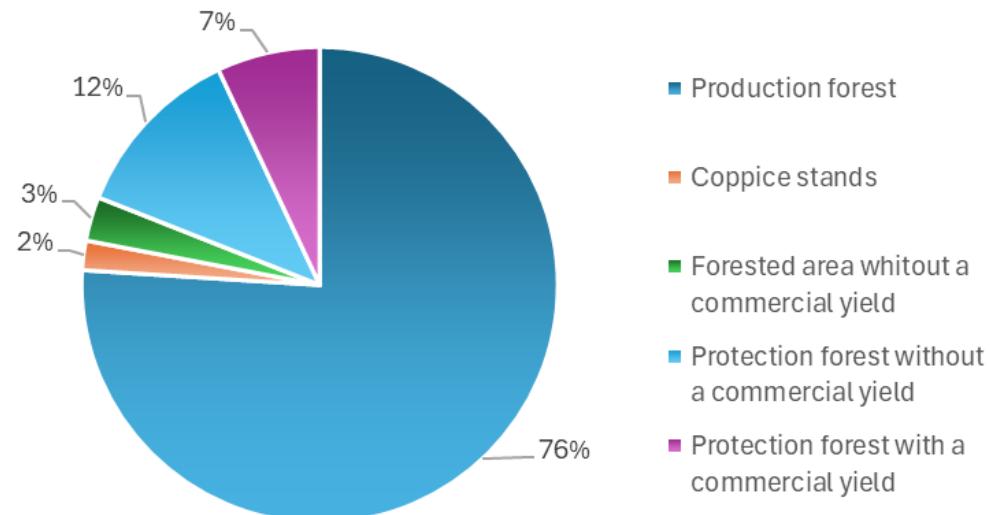
Forests: 4.02 Mio ha = 47,9 %

Ownership - structure



Characteristics of Austrian forests

Proportions of forest functions and silvicultural management methods



Total Area: 8.38 Mio ha

Forests: 4.02 Mio ha = 47,9 %

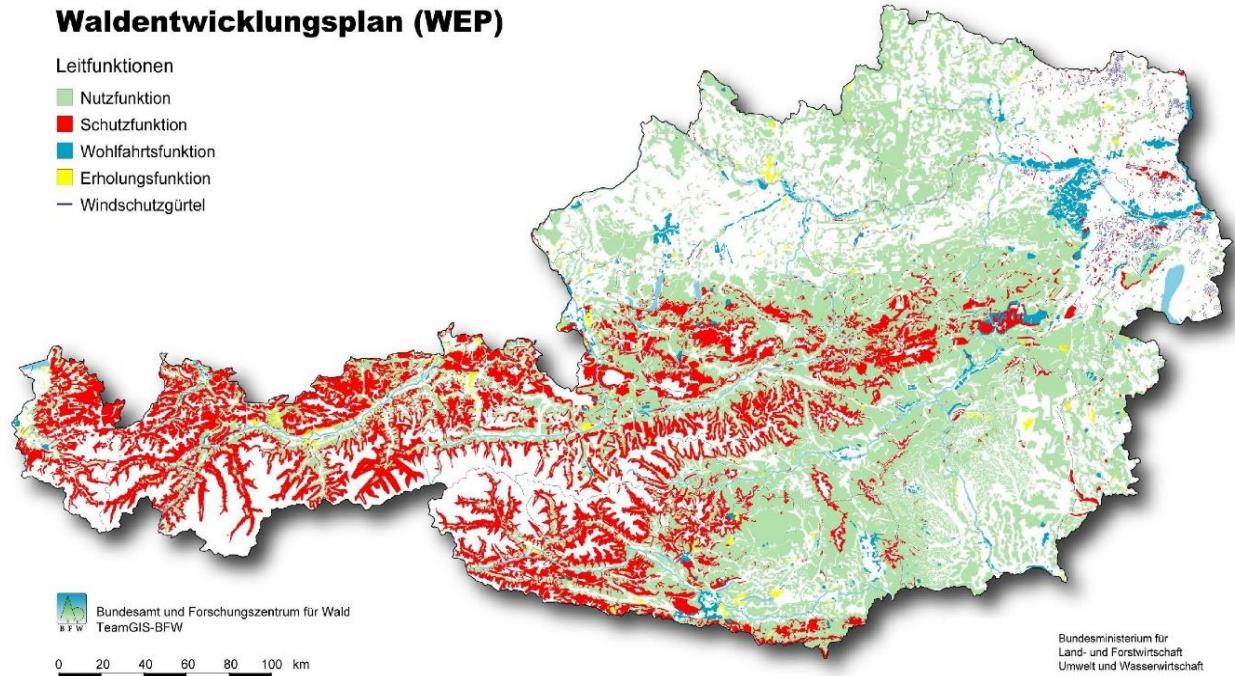
Characteristics of Austrian forests

Protective effects of forests – the Forest Development Plan (WEP)

Waldentwicklungsplan (WEP)

Leitfunktionen

- Nutzfunktion
- Schutzfunktion
- Wohlfahrtsfunktion
- Erholungsfunktion
- Windschutzbügel



Characteristics of Austrian Forests

Protective effects of forests

Clear distinction between protective forests on specific harsh sites (**site protective forests**) and ...

... and protective forests protecting human objects like settlements, infrastructure (**object protective forests**)



Characteristics of Austrian Forests

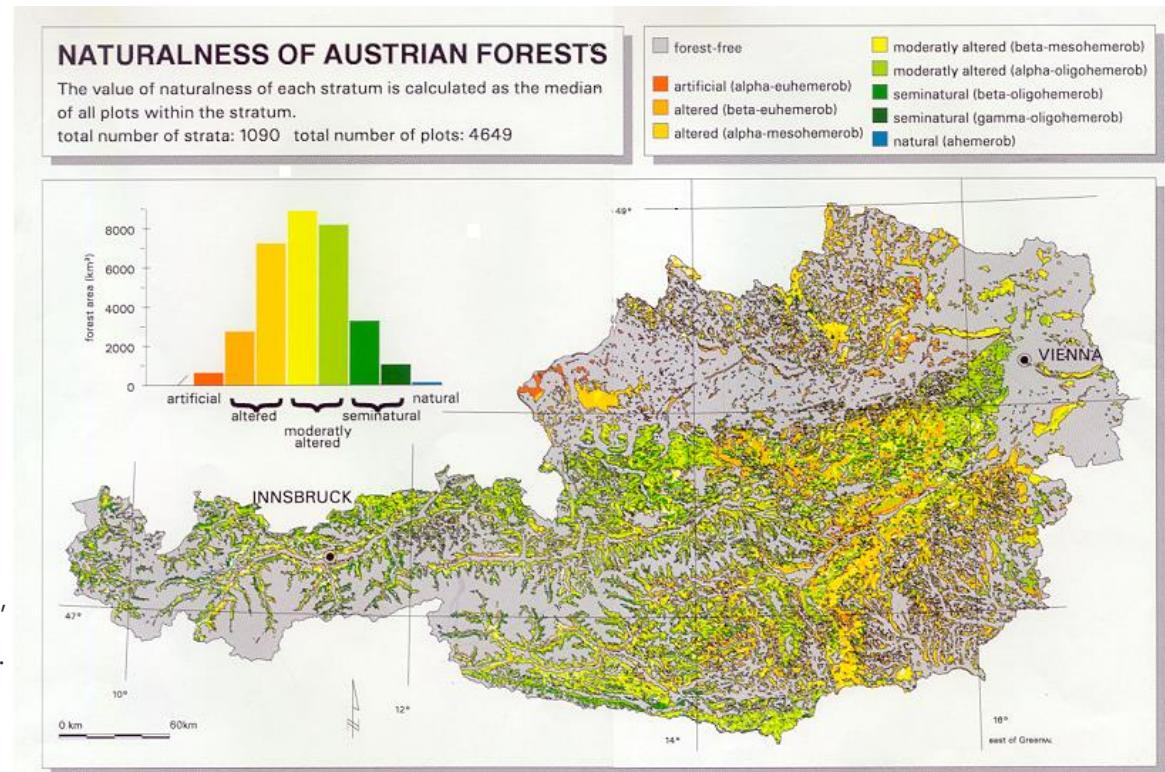
Naturalness - Hemeroby

Carried out in 1992 – 98

Replication planned in 2010

Feasibility study >
Replication simply
not possible

Hemberoby is the reciprocal value of
closeness to nature



Grabherr G., Koch G., Kirchmeir H., Reiter K. 1988: Hemerobie österreichischer Waldökosysteme. Österreichische Akademie der Wissenschaften. MaB-Programm Bd. 17

Legal framework

SFM in Austria – legal framework

- **Federal Forest Act of 1975** (amended several times)
 - Definitions, principles and objectives (Forests, SFM etc.)
 - General protection of forest land
 - Specific protection of forest ecosystems
 - Rights, limitations and obligations for utilisation
 - Regulation of access to forests
- **Other Federal acts**
 - Implementation of EU FLEGT and Timber Regulation
 - Water Act and others
- **Provincial laws**
 - Nature conservation
 - Hunting and others



Legal framework

SFM in Austria – the national frame

Forest law 1852 „Reichsforstgesetz“ as a basis

**Very poor forest condition, degraded forests after overexploitation
(forest grazing, large-scale clear-cutting, plundering)**

Forest law 1975

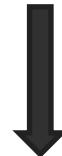
Slight amendments 1977,...,1996 and 2002..., 2023

**The Forest Act 1975 is intended to ensure the maintenance of
forest resources and functions while at the same time allowing
sustainable utilization**

Legal framework

Austria – the national frame

- **Forestry and Forest Management**



Legislation within the competence of the Federal Government

Administration in the responsibility of provinces and regional authorities

- **Nature and Landscape Conservation**
- **Hunting**



covered by 9 Federal Provinces

Exception: international agreements, relevant EC-programmes, e.g. Natura 2000, support of National Parks

→ **Federal Government**

Legal framework – the Austrian Forest Law

Section, Topics	Content	Direct relevance for Close-to-nature forestry
I Forest, general	Sustainable Forest Management, Safeguarding multifunctional functions of forests	§ 1 (1) Amendment 2023: extention to include climate change function; § 1 (3) includes a general committment to sustainable use (without explicitly mentioning "biodiversity")
II Spatial forest planning	Task, scope; Forest functions; Forest development plan, technical forestry plan, hazard zone plan	§ 6 (1c) Amendment 2023: The welfare effect of the forest is extended to include the conservation of biodiversity, § 8 (2b) : Technical forestry plan as a potential instrument for maintenance of biodiversity
III Maintaining the forest and the sustainability of its effects	Treatment and use of protective forests, forests with special habitats, Forests with special treatment, use of forests for recreational purposes, forests with secondary use	§ 13: reforestation in the right time
IV Forest protection	Protection against forest fires, protection against forest pests, forest-damaging air pollution	§ 43, § 44: Obligation to report and prevent mass gradation of "forest pests"
V Logging	Logging on land, logging over foreign properties, logging cooperatives	
VI Utilization of forests	General restrictions on use, supervision of felling by forest authorities, authorization of provincial legislatoion	§ 82: Prohibition of clear-cutting (larger than 2 ha or larger than 50 x 600 m) - Exceptions: operational or economic reasons
VII Protection from torrents and avalanches	Forest management in catchment areas, preventive measures	§ 100: specific forest treatment in catchment areas (suitable reproductive material of tree species, felling subject to authorization)
VIII Forest personell	Forestry bodies and forest protection bodies, forestry college	
IX Forestry research, education and training	Austrian Research Centre for Forests	
X Forestry subsidies	Tasks, objectives and measures of forestry support	
XI Forest seed and forest seedlings	repealed, see annex Forest Reproductive Material Act 2002	
XII Punishment, transitional and final provisions, execution	Responsibility and duties of the authorities, expert opinions of the authorities, forestry supervision, penalty provisions, execution	§ 174: custodial sentences or more or less severe fines - only administrative penalties

Legal framework

Aims of the Forest Law (§ 1)

(2) Ziel dieses Bundesgesetzes ist

1. die Erhaltung des Waldes und des Waldbodens,
2. die Sicherstellung einer Waldbehandlung, dass die Produktionskraft des Bodens erhalten und seine Wirkungen im Sinne des § 6 Abs. 2 nachhaltig gesichert bleiben und
3. die Sicherstellung einer nachhaltigen Waldbewirtschaftung.

(2) The aim of this Federal Act is

1. the maintenance of the forest and the forest soil
2. to ensure that forest management maintains the productive capacity of the soil and ensures that its effects within the meaning of § 6 para. 2 remain sustainable and
3. ensuring sustainable forest management

The Austrian forest law regulates the maintenance and use of forests in a sustainable way but it is not a nature protection act !!!

Legal framework

Definition of SFM in the Forest Law (§ 1)

(3) Nachhaltige Waldbewirtschaftung im Sinne dieses Bundesgesetzes bedeutet die Pflege und Nutzung der Wälder auf eine Art und in einem Umfang, dass deren biologische Vielfalt, Produktivität, Regenerationsvermögen, Kohlenstoffaufnahme- und Kohlenstoffspeicherfähigkeit, Vitalität sowie Potenzial dauerhaft erhalten wird, um derzeit und in Zukunft ökologische, ökonomische und gesellschaftliche Funktionen auf lokaler, nationaler und globaler Ebene, ohne andere Ökosysteme zu schädigen, zu erfüllen. Insbesondere ist bei Nutzung des Waldes unter Berücksichtigung des langfristigen forstlichen Erzeugungszeitraumes und allenfalls vorhandener Planungen vorzusorgen, dass Nutzungen entsprechend der forstlichen Zielsetzung den nachfolgenden Generationen vorbehalten bleiben.

(3) Sustainable forest management within the meaning of this Federal Act means the management and use of forests in such a way and to such an extent that their biological diversity, productivity, regenerative capacity, vitality and potential are permanently maintained in order to fulfill ecological, economic and social functions at local, national and global level, now and in the future, without damaging other ecosystems. In particular, when using the forest, taking into account the long-term forestry production period and any existing plans, care must be taken to ensure that uses are reserved for future generations in accordance with forestry objectives.



The definition of SFM is congruent with the CBD definition of sustainability and the MCPFE definition of SFM

Legal framework

Definition of SFM in the Forest Law

§ 1 (SFM) is very general and refers to the entire Forest Act



There is no direct reflection in the execution of the law and no subsequent consequences in the punishment provisions (§ 170 ff.)

Legal framework

Prohibition of clear-cutting (§ 81)

Verbot von Kahlhieben

§ 82. (1) Verboten sind

a) Kahlhiebe, die

1. die Produktionskraft des Waldbodens dauernd vermindern,
2. den Wasserhaushalt des Waldbodens erheblich oder dauernd beeinträchtigen,
3. eine stärkere Abschwemmung oder Verwehung von Waldboden herbeiführen oder
4. die Wirkung von Schutz- oder Bannwäldern gefährden,

b) Großkahlhiebe im Hochwald.

§ 82 (1) are prohibited

a) Clear-cutting which

1. permanently prevent the productive capacity of the forest soil
2. significantly or permanently impair the water balance of the forest soil
3. cause increased washing away or drifting of forest soil or
4. jeopardize the effect of protection and ban forests.

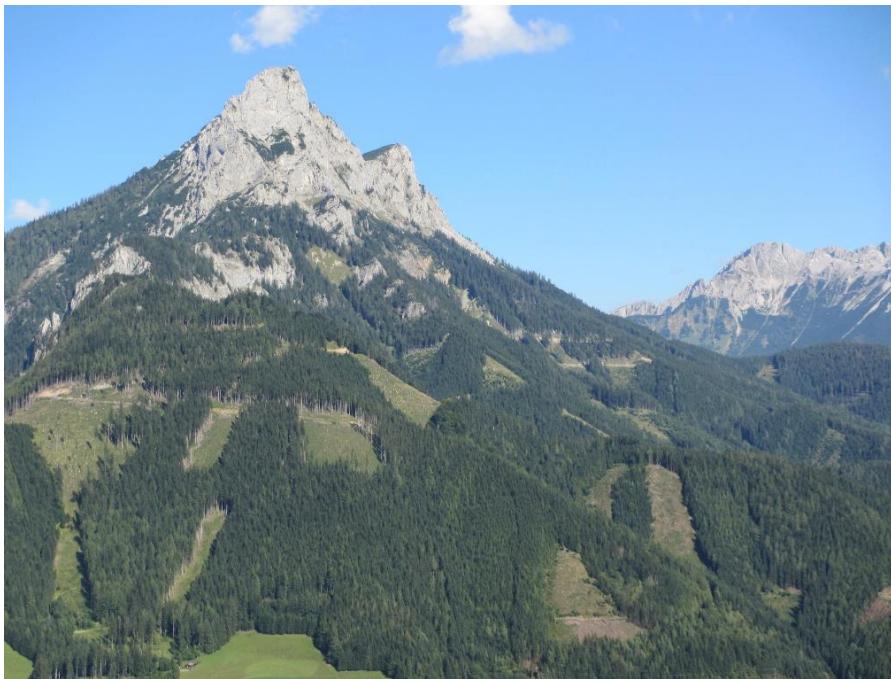
b) Large-scale clear-cutting in the high forest



.... but many exceptions

Legal framework

Prohibition of clear-cutting (§ 82)



.... but many exceptions and reforestation again with problematic species

Pro Silva Austria

a slightly different approach

- Part of Pro Silva, founded in 1989 in Slovenia
- European Association of Foresters, Forest Owners, Scientists and Friends of the Forest
- Established in Austria in 1991
- Officially founded and independent since 2000



Pro Silva Austria

Our mission statement

- Comprehensive care and management of the forest
- Ecological, economic and social sustainability
- Use in such a way that the forest ecosystem is preserved and not destroyed periodically
- Cooperation with science and research
- Meetings and excursions - Best practice examples from forestry enterprises



Pro Silva Austria Principles

Congruent with MCPFE-SFM-principles

MCPEE – Criteria on SFM	Pro Silva Austria- Principles
Criterion 1: Forest resources and their contribution to global carbon cycles	Grundsatz 1: Verbesserung der Waldsubstanz
Criterion 2: Forest ecosystem health and vitality	Grundsatz 2: Erhaltung der Gesundheit und Vitalität der Wälder
Criterion 3: Productive functions of forests	Grundsatz 3: Stärkung der Wirtschaftsleistung des Waldes
Criterion 4: Biological diversity in forest ecosystems	Grundsatz 4: Erhaltung der biologischen Vielfalt von Waldökosystemen
Criterion 5: Protective functions in forest management	Grundsatz 5: Erhaltung der Schutz- und Wohlfahrtswirkungen
Criterion 6: Other socio-economic functions and conditions	Grundsatz 6: Wirtschaftliche und soziale Rahmenbedingungen für die nachhaltige Waldbewirtschaftung



Pro Silva Austria – Integrative forest management

- Prerequisite: Biotope-adapted game densities
- Peeling damage is wood damage
- Browsing damage is damage to the ecosystem !!!



ReSynatWald 2.0 – Forest Integrate Austria

Co-operation with Pro Silva Austria

-ResynatWald
(2014 – 2016)

-ReSynatWald 2.0 –
Forest Integrate
Austria
(2019 – 2022)

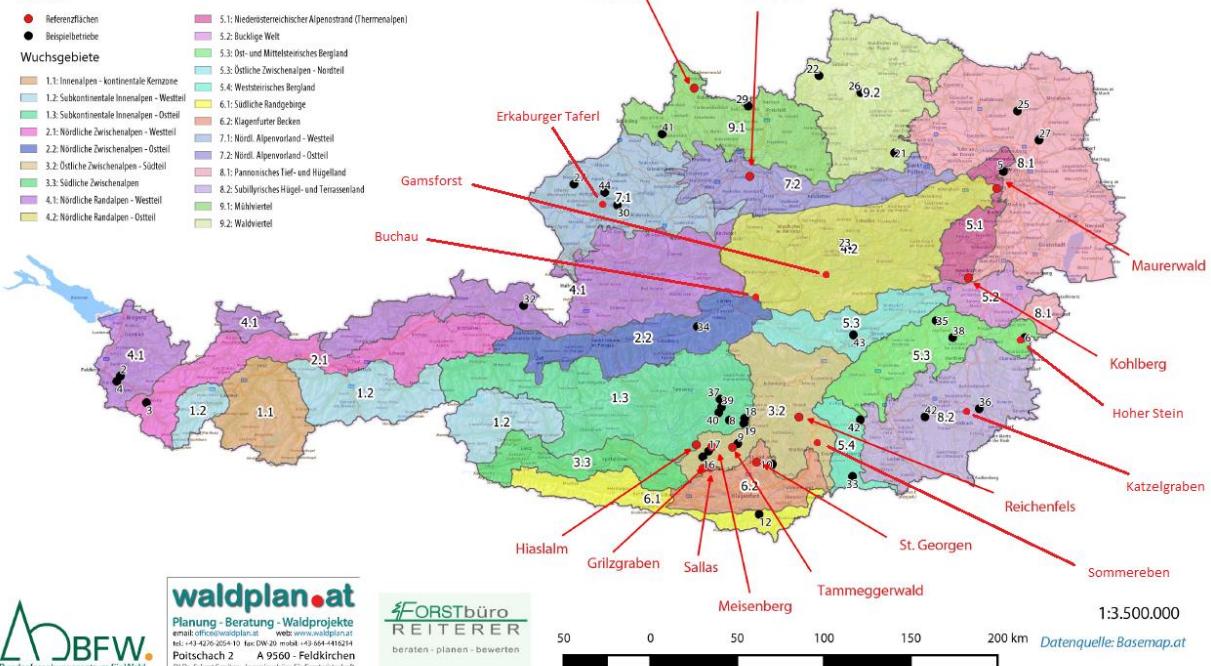
-Forest Integrate
Austria –
ReSynatWald
-24-25

Übersichtskarte Beispielbetriebe Resynat Wald

Entwicklung eines Referenzflächen-Systems zur wissenschaftlichen Qualifizierung naturnaher Waldbaumethoden in Österreich

Legende

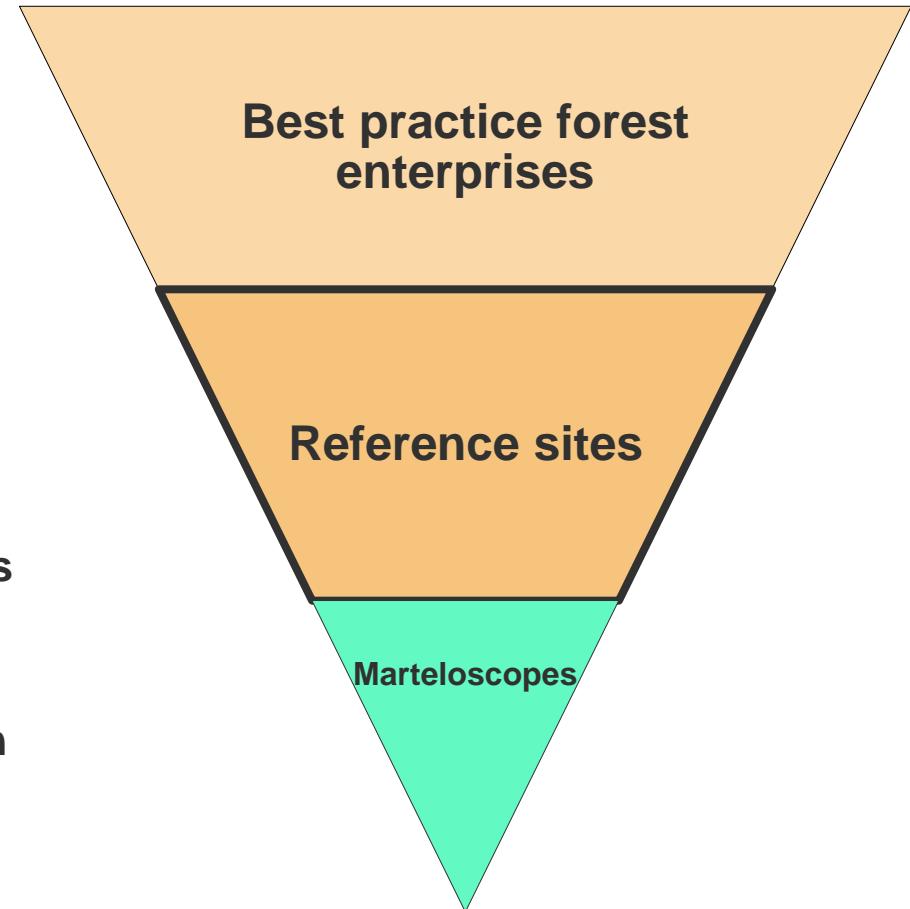
- Referenzflächen
- Beispielbetriebe
- Wuchsgebiete
 - 1.1: Innenalpen - kontinentale Klimazone
 - 1.2: Subkontinentale Innenalpen - Westteil
 - 1.3: Subkontinentale Innenalpen - Ostteil
 - 2.1: Nördliche Zwischenalpen - Westteil
 - 2.2: Nördliche Zwischenalpen - Ostteil
 - 3.2: Östliche Zwischenalpen - Südteil
 - 3.3: Südliche Zwischenalpen
 - 4.1: Nördliche Randalpen - Westteil
 - 4.2: Nördliche Randalpen - Ostteil
- 5.1: Niederoesterreichischer Alpenstrand (Thermenalpen)
- 5.2: Bucklige Welt
- 5.3: Ost- und Mittelsteirisches Bergland
- 5.4: Weststeirisches Bergland
- 6.1: Südliche Randberge
- 6.2: Kogenfurter Becken
- 7.1: Nord. Alpenvorland - Westteil
- 7.2: Nord. Alpenvorland - Ostteil
- 8.1: Pannonicus Tiefland und Hügelland
- 8.2: Subplyrysisches Hügel- und Terrassenland
- 9.1: Mähnviertel
- 9.2: Waldviertel



ReSynatWald 2.0 – Forest Integrate Austria

Basic idea

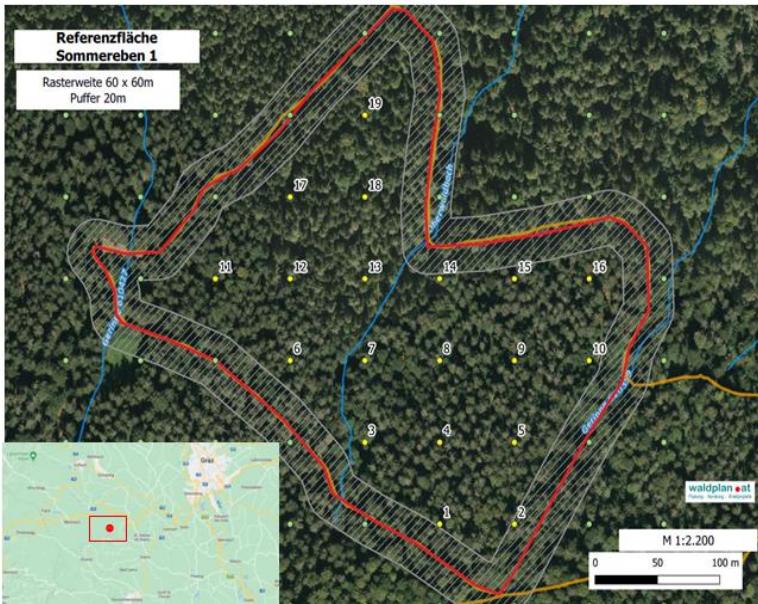
- Identification of suitable **best practice forest enterprises** working with continuous cover forestry
(Pro Silva Austria members)
- Establishment of **reference sites** as permanent observation areas
- Establishment of **demonstration sites** for modelling, workshops and tree selection exercise



ReSynatWald 2.0 – Forest Integrate Austria

RESYNAT WALD Projektflächen

Sommereben



ReSynatWald 2.0 – Forest Integrate Austria

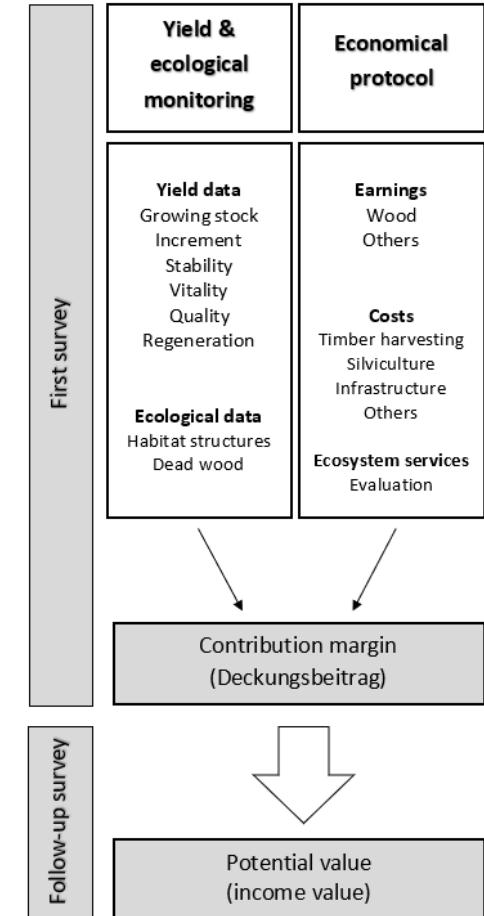
Research aims

Forest
growth and
structure
analysis

Ecological
monitoring

Economic
protocol

Benefits of close-to-nature silviculture
Costs of nature conservation in forests

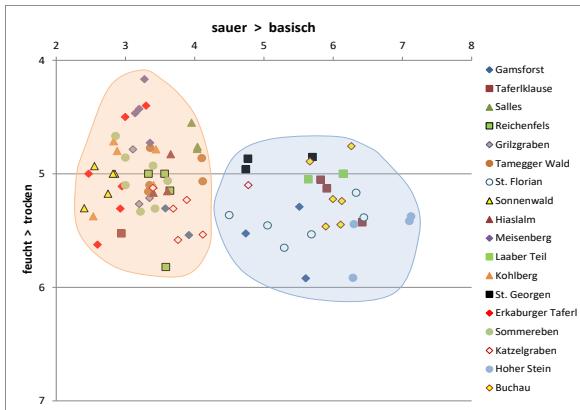
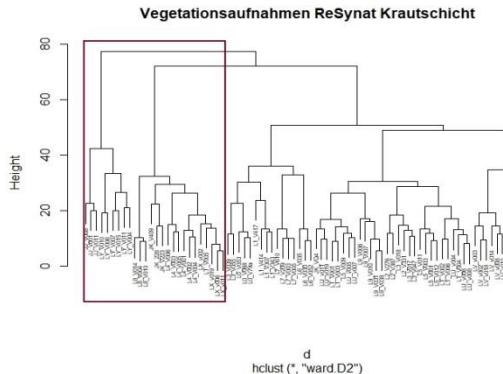


ReSynatWald 2.0 – Forest Integrate Austria

Assessment of the naturalness of the forest types

Clear differentiation between forest types on acidic substrate and on alkaline soils

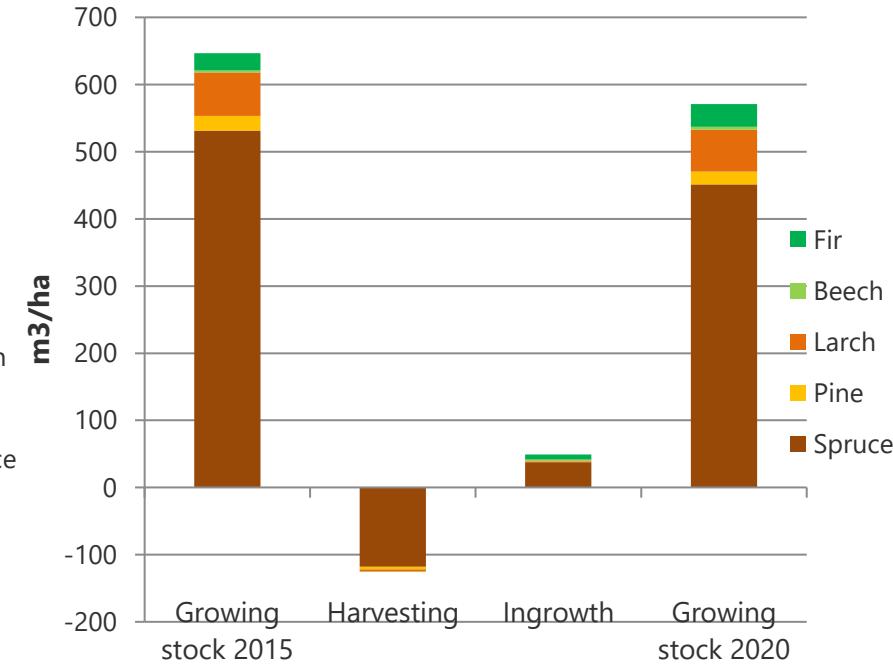
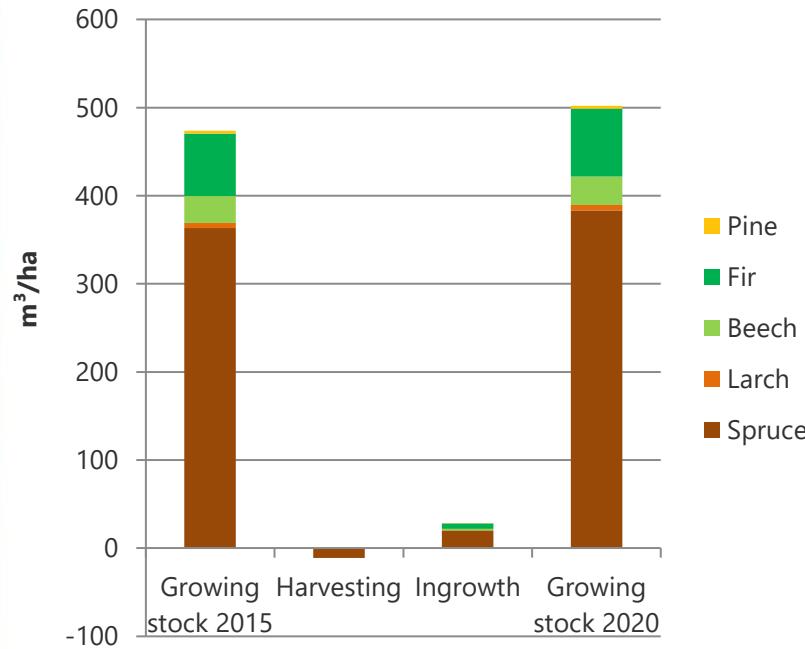
Only partial correspondence between the current / actual vegetation and the potential vegetation.



Referenzfläche	ID	Waldgesellschaften	
		aktuell	potentiell
Grüzgraben	L2	Wollgras-Fichtenwald Carpinetum ilicis-Poetum	Wollgras-Fr-Ta-BuWald Carpinetum ilicis-Fagetum
Hiaslalm	LG	Silkt-Lärche-Zirbenwald Luzulo-Poetum crenatae	Alpenlärch-Fichtenwald Honeygne-Poetum
Kohlb erg	L9	Hainsimsen-Fichtenwald Luzulo-Poetum	Hainsimsen-Ta-BuWald Luzulo-Fagetum
	L9	Hölzleiche-Rottbrennwald Vaccinio myrti-Poetum sylvaticae	Hainsimsen-Traubeneichenwald Luzulo-Qurettum
Lainzer Tiergarten - Laaber Teil	L8	Träubeneichen-Hainbuchenwald Gale odorei-Carpetum	Waldmeister-Buchenwald Gale odorei-Fagetum
Meisenberg I	L7	Hainsimsen-Fichtenwald Luzulo-Poetum	Hainsimsen-Fichten-Tannenwald Luzulo-Poetum
Meisenberg II	L7	Pelzchenmos-Fichtenwald Bazzano-Poetum	Pelzchenmos-Fichten-Tannenwald Bazzano-Poetum
Reichenfels	L1	Alpenlärch-Fichtenwald Basanamer-Sumpffichtenwald	Alpenlärch-Fichtenwald Honeygne-Poetum
Salles	L0	Hainsimsen-Fichtenwald Luzulo-Poetum	Hainsimsen-Ta-BuWald Luzulo-Fagetum
Sonnenwald	L5	Pelzchenmos-Fichten-Tannenwald Wollgras-Fr-Ta-BuWald Carpinetum ilicis-Fagetum	Wollgras-Fr-Ta-BuWald Carpinetum ilicis-Fagetum
St. Florian	L4	Seegras-Fichtenwald Waldmeister-Buchenwald Gale odorei-Fagetum	Waldmeister-Buchenwald Gale odorei-Fagetum
St. Georgen	L5	Waldmeister-Fr-Ta-BuWald Gale odorei-Fagetum	Waldmeister-Fr-Ta-BuWald Gale odorei-Fagetum
Tamegger Wald	L3	Hainsimsen-Fichtenwald Luzulo-Poetum	Hainsimsen-Ta-BuWald Luzulo-Fagetum
Erkaburger Taferl	LT	Pelzchenmos-Fr-TaW Bazzano-Poetum Hainsimsen-Ta-BuW Luzulo-Fagetum	Hainsimsen-Ta-BuW Luzulo-Fagetum
Sommereben	LU	Montane Hainsimsen-Fichtenwald Luzulo lusitanicae-Poetum Hainsimsen-Ta-BuW	Hainsimsen-Ta-BuW Luzulo-Fagetum
Katzelgraben	LV	Montane Hainsimsen-Fichtenwald Luzulo lusitanicae-Poetum Wachteleichen-Buchenwald Metapryro-Fagetum	Wachteleichen-Buchenwald Metapryro-Fagetum
Hoher Stein	UX	Bingelknut-Buchenwald Mercurial-Fagetum	Bingelknut-Buchenwald Mercurial-Fagetum
Buchau	LY	Schreberle-Rottbrennwald Erico-Poetum sylvestris Torfholz-Poetum Nordalpischer Karbonit-Fr-Ta-BuW Adenostylo glabratum-Fagetum	Nordalpischer Karbonit-Fr-Ta-BuW Adenostylo glabratum-Fagetum
Gamsforst	JI	Labkraut-Fichten-Tannenwald Gale rotundifoliae-Poetum Cerat brooids-Abietetum Montane Hainsimsen-Fichtenwald Luzulo lusitanicae-Poetum	Kleeschaumkraut-Fr-Ta-BuW Lardomedio foliolae-Fagetum Seegras-Fichten-Tannenwald Cerat brooids-Abietetum Hainsimsen-Ta-BuW Luzulo-Fagetum

ReSynatWald 2.0 – Forest Integrate Austria

Repeated Surveys



ReSynatWald 2.0 – Forest Integrate Austria

$$\begin{aligned} \text{Increment - harvest - index} &= \frac{(V_{t-n} + i_n - V_t) - (V_t + h_n - V_{t-n})}{(V_{t-n} + i_n - V_t) + (V_t + i_n - V_{t-n})} \\ &= \frac{(i_n - h_n)}{(i_n + h_n)} \quad [-1 < I < 1] \end{aligned}$$

V_t = Growing stock at period end

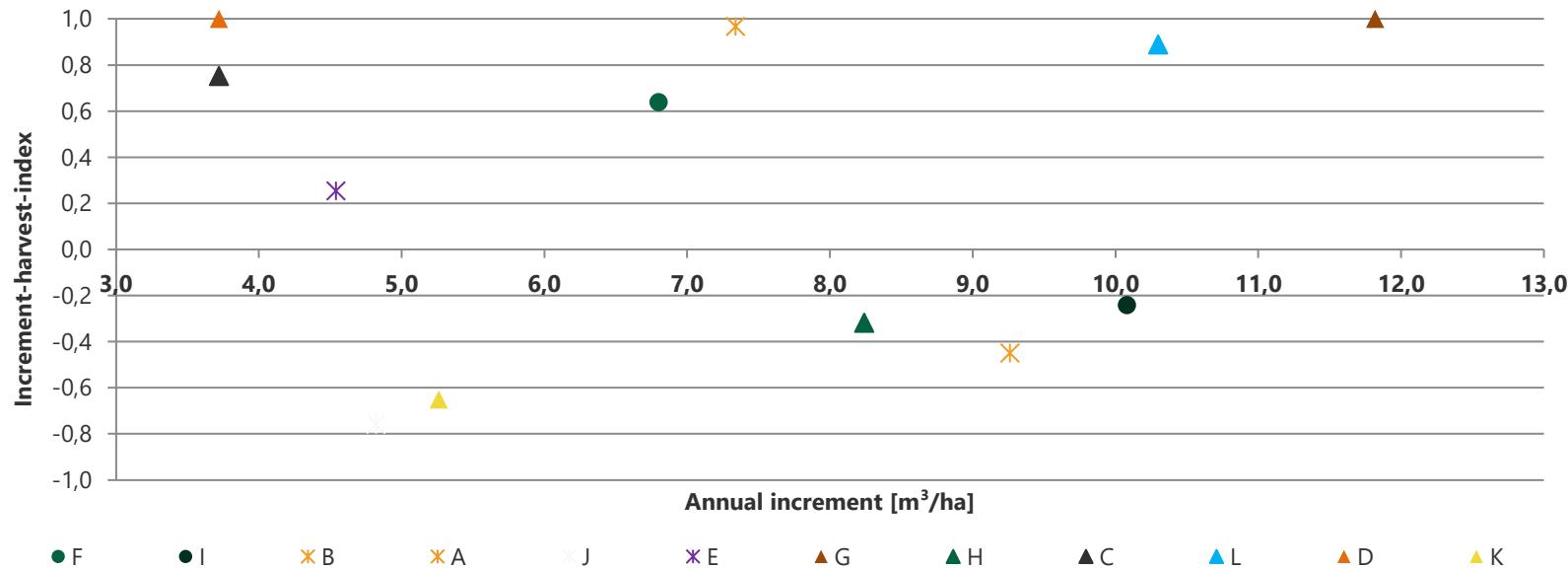
V_{t-n} = Growing stock at period start

i_n = Increment over period (ingrowth + volume increment)

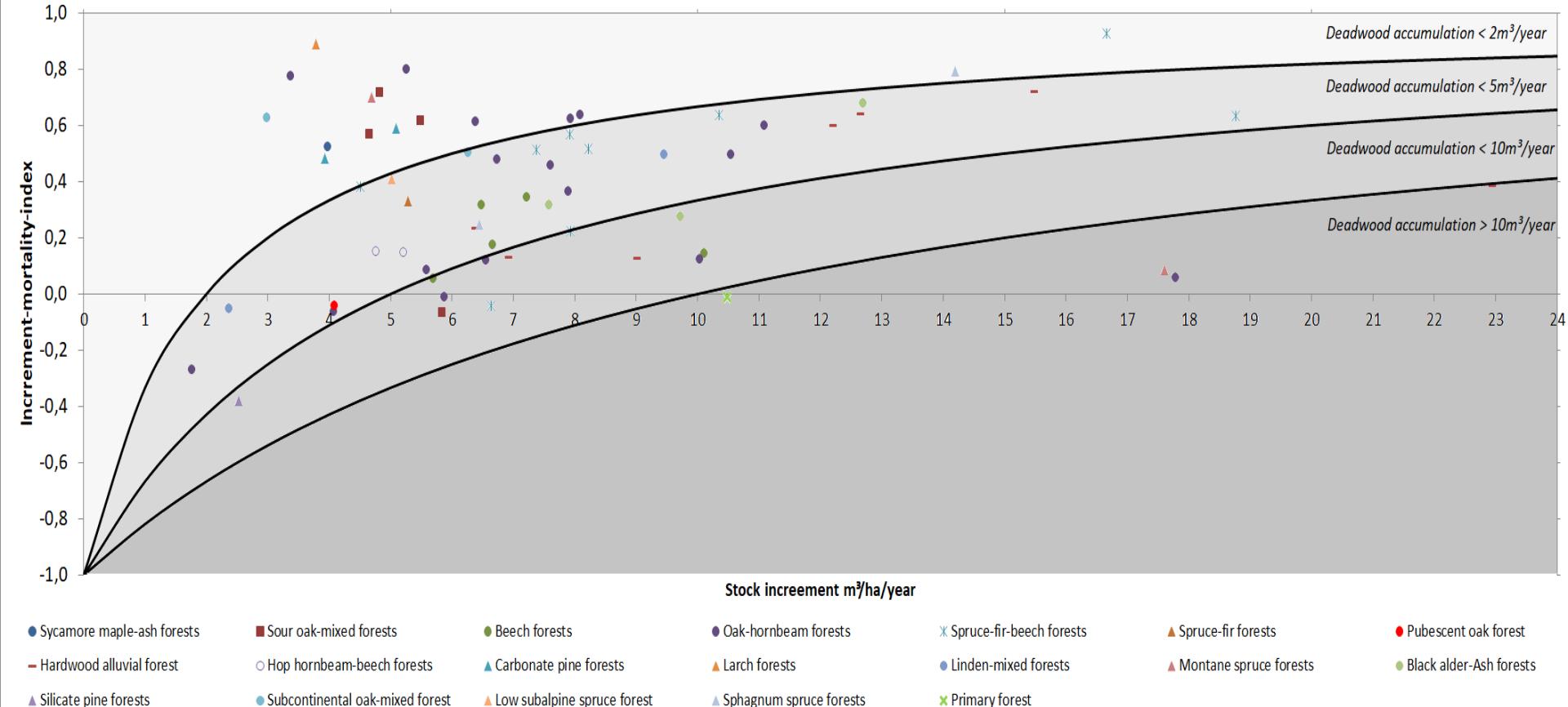
h_n = Harvesting volume in the period

n = Period length in years

IHI Increment-Harvesting-Index (managed forests)

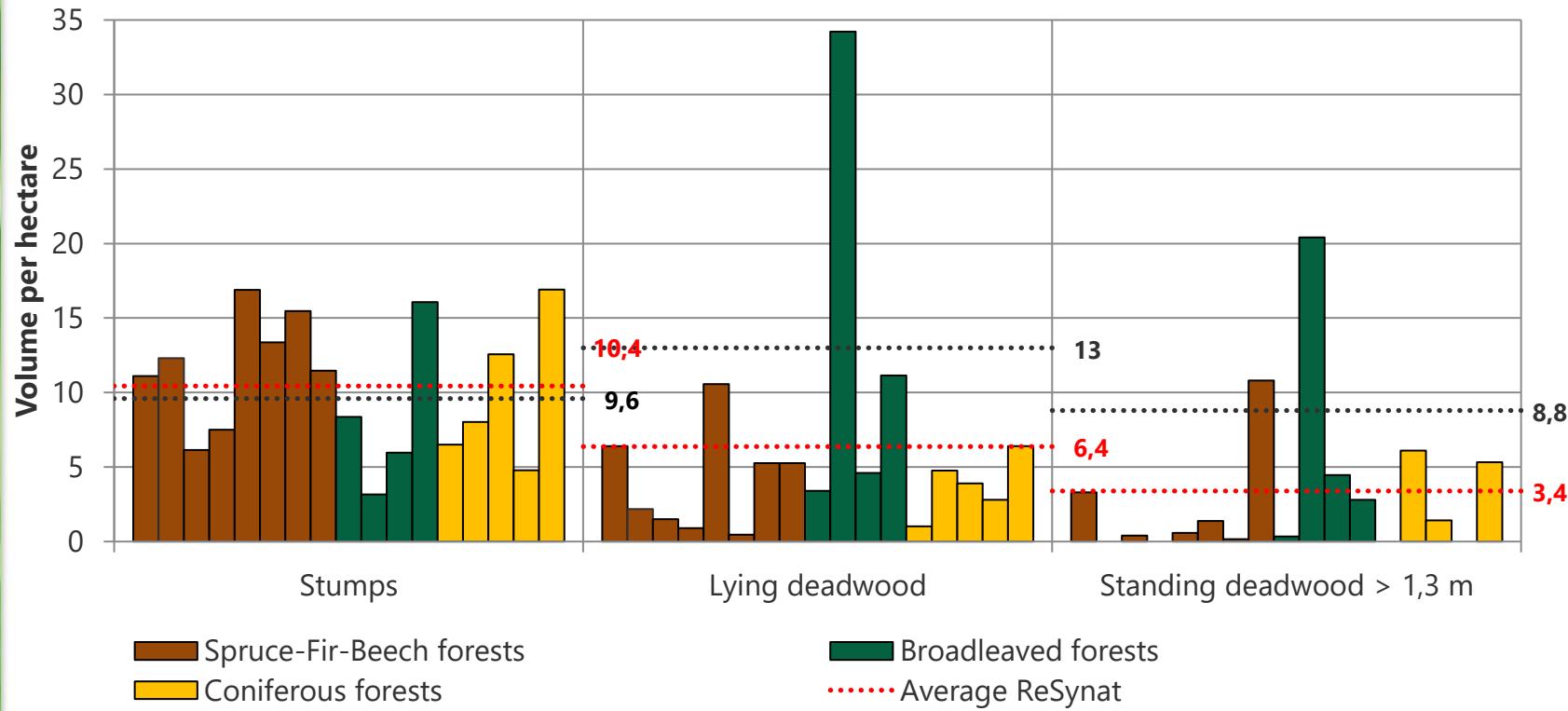


IMI Increment-Mortality-Index (Natural Forest Reserves)



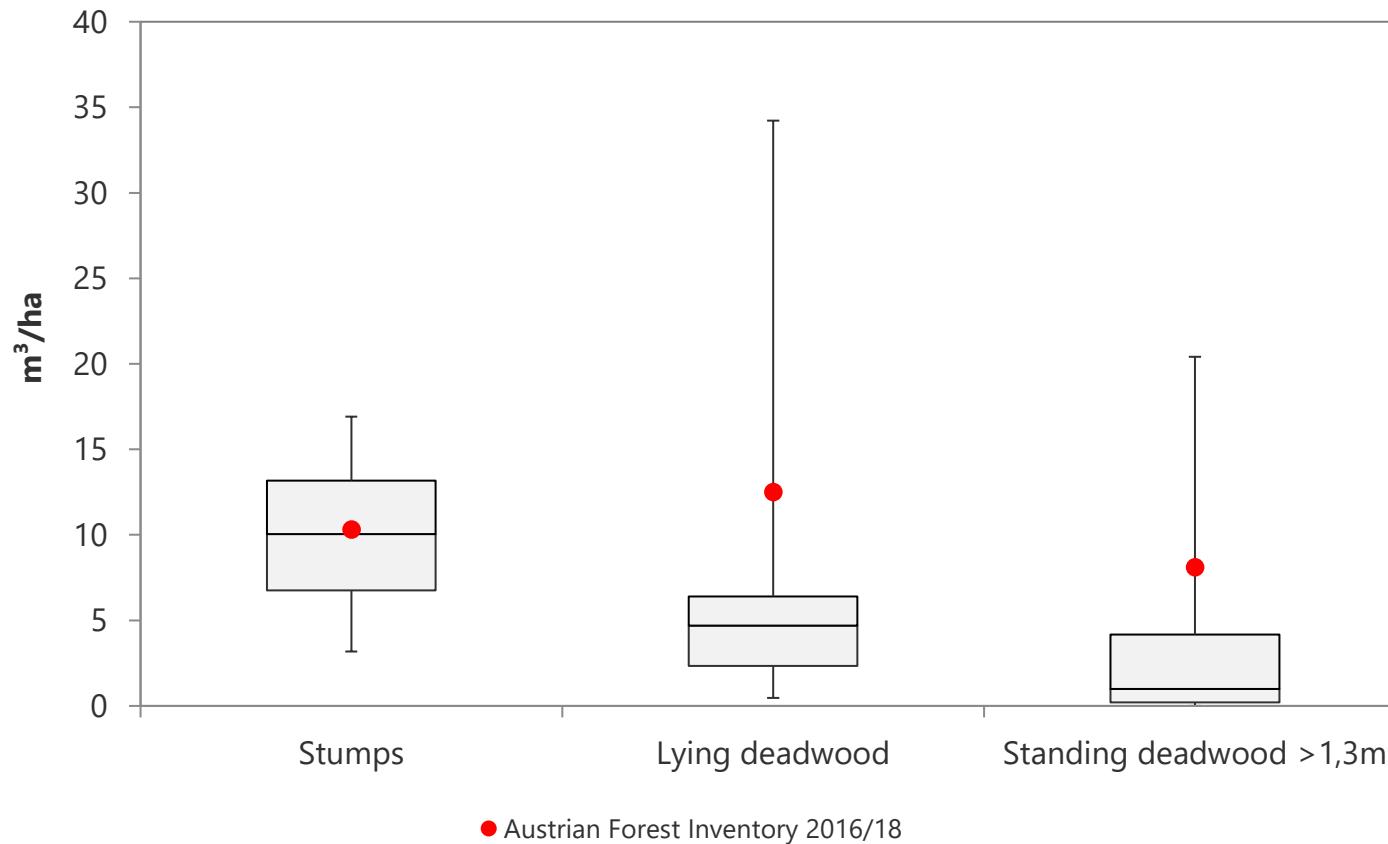
ReSynatWald 2.0 – Forest Integrate Austria

Deadwood quantities in forest types (n=18)



ReSynatWald 2.0 – Forest Integrate Austria

Deadwood quantities (18 reference areas)



ReSynatWald 2.0 – Forest Integrate Austria

TreMs (tree-related Microhabitats)

TreMs are nearly undetectable



ReSynatWald 2.0 – Forest Integrate Austria

Economic monitoring

- Owners of the sites maintain continuous records on the earnings and expenses on the reference sites

Earnings e.g

- timber harvesting
 - other income (hunting etc.)
 - other non-wood-forest products
 - subsidies (e.g. habitat trees)

Expenses e.g.

- harvesting costs
 - tending activities
 - cost for tree marking
 - protection against browsing
 - quality control

E	Ernte
K	Kalamitätsnutzung
P	Pflegeentnahme
T	Trassenrieb/-Herstellung Pflegegassen
HS	Holzterne - sonstiges
Z	Auszeuge
U	Übergabe, Abmål, Qualifizierung
C	Kontrolle der Arbeiten
CF	Kontrolle der Fläche
MS	Management - sonstiges
A	Astung/Kronenpflege
J	Jungwuchspflege/Konkurrenzregelung
G	Verjüngungsergänzung
PS	Pflegekosten - sonstiges
F	Forstschutz
ZN	Zäunung
ES	Einzelzschutz
JS	Jagd - sonstiges
OBT	Maßnahmen-Biotope
OBS	Maßnahmen-Bestand
OS	Ökologische Maßnahmen - sonstige

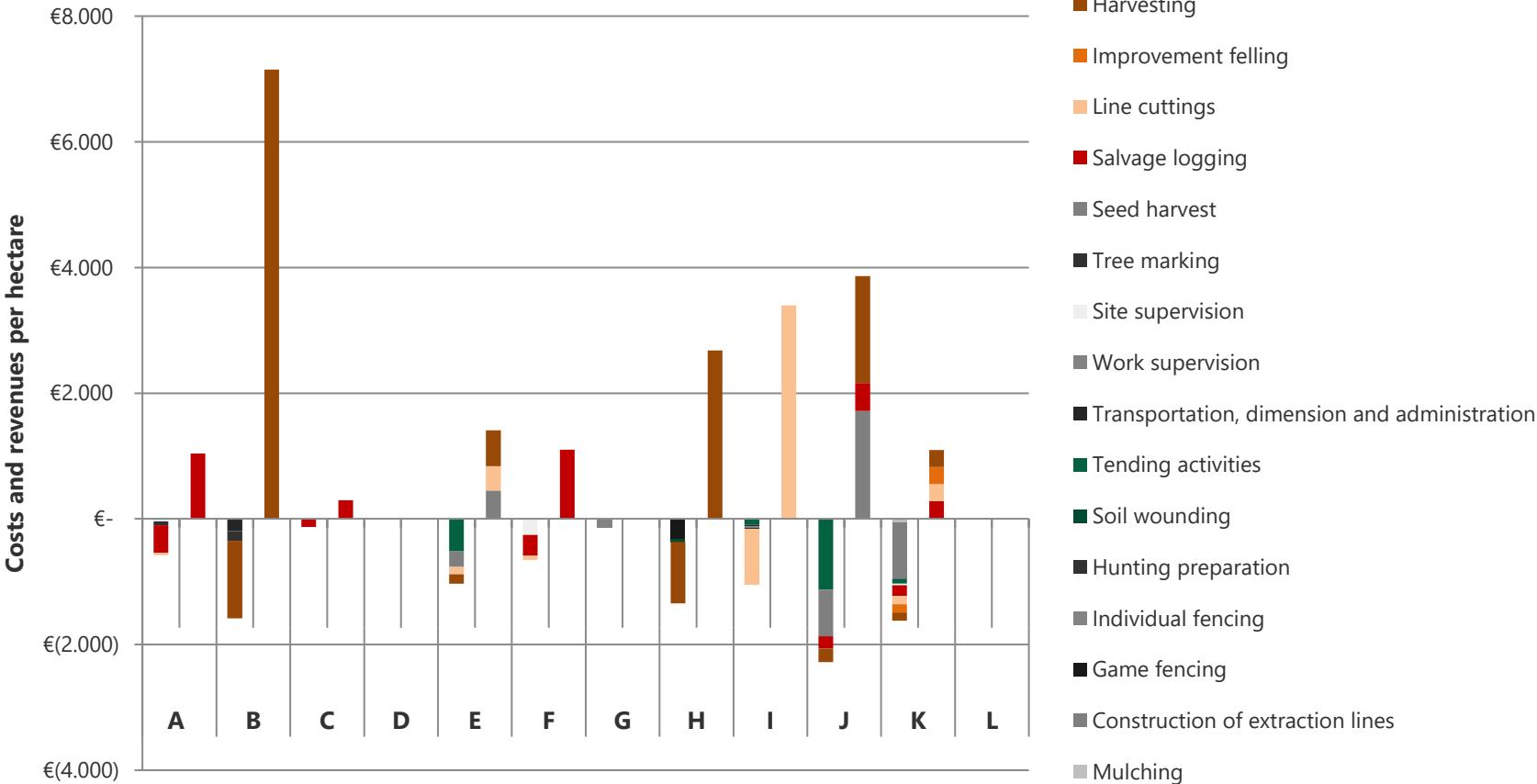
Efm	Erntefestmeter
Std.	Arbeitsstunden
Stk.	Stück
Lfm	Laufmeter

€/Efm	Euro pro Erntefestmeter
€/Std.	Euro pro Stunde
€/Stk.	Euro pro Stück
€/Lfm	Euro pro Laufmeter

NNS	Nebennutzungen-sonstiges
NNB	Nebennutzungen-Bestand
NR	Neubau unbefestigte Rückewege
IR	Instandh
FS	Erschließ

ReSynatWald 2.0 – Forest Integrate Austria

Costs and revenues per hectar from the first survey period



ReSynatWald 2.0 – Forest Integrate Austria

Lessons learned:

Close(r)-to-nature silviculture = 3 + 1

1. Orientation towards tree species composition of the potential natural forest community
2. Using natural regeneration and self-differentiation
3. Selective single-tree harvesting
4. Consideration of biodiversity



Thank you for your attention !

Dr. Georg Frank

Austrian Research Centre for Forests (BFW)

Natural Forest Reserves

++43 664 4024697

georg.frank@bfw.gv.at

Torino, 08-04-2024